



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Laurie J. Ozelius and Xandra O. Breakefield  
Application No.: 09/772,105 Group Art Unit: 1634  
Filed: January 26, 2001 Examiner: J. Einsmann  
Confirmation No.: 7955  
For: TORSIN, TORSIN-RELATED GENES, AND METHODS OF  
DETECTING NEURONAL DISEASES



TRANSMITTAL OF SMALL ENTITY STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please file the enclosed Small Entity Statement in the above-identified patent application.  
A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,

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STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.27(a))-NONPROFIT ORGANIZATION

Applicant or Patentee: Laurie J. Ozelius and Xandra O. Breakfield  
Application or Patent No.: 09/772,105  
Filed or Issued: January 26, 2001  
Title: TORSIN, TORSIN-RELATED GENES, AND METHODS OF DETECTING NEURONAL DISEASES

I hereby state that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION The General Hospital Corporation  
ADDRESS OF NONPROFIT ORGANIZATION 55 Fruit Street  
Boston, Massachusetts 02114

TYPE OF NONPROFIT ORGANIZATION:

- ☐ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION  
☒ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))  
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA  
(NAME OF STATE \_\_\_\_\_)  
(CITATION OF STATUTE \_\_\_\_\_)  
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3)) IF  
LOCATED IN THE UNITED STATES OF AMERICA  
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED  
STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA  
(NAME OF STATE \_\_\_\_\_)  
(CITATION OF STATUTE \_\_\_\_\_)

I hereby state that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.27(a)(3) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

- ☐ the specification filed herewith with title as listed above.  
☒ the application identified above.  
☐ the patent identified above.

I hereby state that the rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities. No rights to the invention are held by any person who would not qualify as a person under 37 CFR 1.27(a)(1) or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2) or a nonprofit organization under 37 CFR 1.27(a)(3).

Each person, concern, or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.  
☐ each such person, concern, or organization is listed below.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.27(g)(2))

NAME OF PERSON SIGNING Frances Tongeuzzo, Ph.D.  
TITLE IN ORGANIZATION OF PERSON SIGNING Director of Patents  
ADDRESS OF PERSON SIGNING 13th Street, Building 149, Suite 5036, Charlestown, Massachusetts 02129  
SIGNATURE F. Tongeuzzo DATE 6/23/03